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Name.....

Reg. No.....

FIRST SEMESTER (CUFYUGP) DEGREE EXAMINATION
NOVEMBER 2025

Accounting and Finance/Commerce

COP 1FM 105 (2) / COM 1FM 105 (2)—STOCK MARKET FUNDAMENTALS
 (2024 Admission onwards)

Time : One Hour and a Half

Maximum : 50 Marks

Answers should be written in English only.

Section A

Answer all questions.

Each question carries 2 marks.

Ceiling 16 marks.

1. What is a secondary market ?
2. What is a Demat account ?
3. What is the role of a Trading account in stock market investments ?
4. Define ASBA.
5. What is allotment ?
6. What do you mean by Prospectus ?
7. What is Technical analysis ?
8. What is SIP?
9. What is a Fund Fact Sheet ?
10. Define SWP in mutual funds.

Section B

Answer all questions.

Each question carries 6 marks.

Ceiling 24 marks.

11. What is intra-day trading, and how does it differ from swing trading ?
12. Explain the different modes of pricing in an Initial Public Offer (IPO).

Turn over

13. Discuss the various ratios used in company analysis.
14. Write a note on portfolio diversification.
15. Describe the process and significance of redeeming mutual fund units.

Section C

*Answer any **one** question.*

The question carries 10 marks.

16. What is a mutual fund ? Explain in detail the various types of mutual funds.
17. Explain in detail the various methods of floating new issues.

$(1 \times 10 = 10 \text{ marks})$