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| QP Code:D132525   | Total Pages: 2  | Name:        |  |  |
|   |   | Register No. |  |  |
| <b>FIRST SEMESTER UG DEGREE EXAMINATION, NOVEMBER 2025</b>          |   |              |  |  |
| (CUFYUGP)   |   |              |  |  |
| <b>COM1MN105/COP1MN105 BASICS OF FINANCIAL MARKETS</b>              |   |              |  |  |
| <b>2024 Admission onwards</b>                                       |   |              |  |  |
| <b>Maximum Time :2 Hours</b>  | <b>Maximum Marks :70</b>  |              |  |  |
| <b>Answers should be written in english</b>                         |   |              |  |  |
| <b>Section A</b>  |   |              |  |  |
| <b>All Questions can be answered. Each Question carries 3 marks</b> |   |              |  |  |
| 1   | Who are depositories?   |              |  |  |
| 2   | What are the main components of the financial system?                               |              |  |  |
| 3   | What is meant by financial intermediaries?  |              |  |  |
| 4   | Define capital market.  |              |  |  |
| 5   | What do you mean by Initial Public Offering?  |              |  |  |
| 6   | What are the functions of SEBI?   |              |  |  |
| 7   | What is meant by listing of securities?   |              |  |  |
| 8   | What do you know about ASBA?  |              |  |  |
| 9   | What is a money market instrument? Give one example.                                |              |  |  |
| 10  | State any three duties of insurance companies in the financial system.              |              |  |  |
| <b>(Ceiling : 24 Marks)</b>   |   |              |  |  |
| <b>Section B</b>  |   |              |  |  |
| <b>All Questions can be answered. Each Question carries 6 marks</b> |   |              |  |  |
| 11  | Explain the role and significance of the financial system in the Indian economy.    |              |  |  |
| 12  | Describe the features and advantages of mutual funds.                               |              |  |  |
| 13  | Explain the importance and process of issuing new securities in the primary market. |              |  |  |
| 14  | Describe the functions of brokers and dealers in the secondary market.              |              |  |  |

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| 15   | Explain the role of the Reserve Bank of India in regulating financial markets.                                  |
| 16   | Distinguish between the primary market and the secondary market.  |
| 17   | Discuss the main features and functions of the money market in India.   |
| 18   | Discuss the significance of Sensex and Nifty as stock market indicators.<br><br><b>(Ceiling : 36 Marks)</b>     |
| <b>Section C</b><br><b>Answer any ONE question, carries 10 marks</b> |   |
| 19   | Discuss how capital and money markets help in the financial development of India.                               |
| 20   | Explain the structure and functions of the Indian Financial System with examples.<br><br><b>(1x10=10 Marks)</b> |