

QP Code:D132525		Total Pages: 2	Name:
			Register No.
FIRST SEMESTER UG DEGREE EXAMINATION, NOVEMBER 2025			
(CUFYUGP)			
COM1MN105/COP1MN105 BASICS OF FINANCIAL MARKETS			
2024 Admission onwards			
Maximum Time :2 Hours			Maximum Marks :70
Answers should be written in english			
Section A			
All Questions can be answered. Each Question carries 3 marks			
1	Who are depositories?		
2	What are the main components of the financial system?		
3	What is meant by financial intermediaries?		
4	Define capital market.		
5	What do you mean by Initial Public Offering?		
6	What are the functions of SEBI?		
7	What is meant by listing of securities?		
8	What do you know about ASBA?		
9	What is a money market instrument? Give one example.		
10	State any three duties of insurance companies in the financial system.		
(Ceiling : 24 Marks)			
Section B			
All Questions can be answered. Each Question carries 6 marks			
11	Explain the role and significance of the financial system in the Indian economy.		
12	Describe the features and advantages of mutual funds.		
13	Explain the importance and process of issuing new securities in the primary market.		
14	Describe the functions of brokers and dealers in the secondary market.		

15	Explain the role of the Reserve Bank of India in regulating financial markets.
16	Distinguish between the primary market and the secondary market.
17	Discuss the main features and functions of the money market in India.
18	Discuss the significance of Sensex and Nifty as stock market indicators. (Ceiling : 36 Marks)
Section C	
Answer any ONE question, carries 10 marks	
19	Discuss how capital and money markets help in the financial development of India.
20	Explain the structure and functions of the Indian Financial System with examples. (1x10=10 Marks)