789025

QP Code: D153555	Total Pages: 1	Name:
		Register No.

FIFTH SEMESTER (CUFYUGP) DEGREE EXAMINATION, NOVEMBER 2026

COMMERCE

COM5EJ301(1): Management of Financial Services

2024 Admission onwards

Maximum Time :2 Hours	Maximum Marks :70

Section A

All Question can be answered. Each Question carries 3 marks (Ceiling : 24 Marks)

1	Explain the structure of the Indian financial system.
2	What is merchant banking?
3	What is a mutual fund?
4	What are financial instruments?
5	What is bill discounting?
6	What is NAV?
7	What is meant by underwriting?
8	What is financial innovation?
9	What is venture capital?
10	What is securitization of debt?

Section B

All Question can be answered. Each Question carries 6 marks (Ceiling : 36 Marks)

11	Explain the functions of an Asset Management Company in mutual fund operations.
12	Distinguish between factoring and forfeiting.
13	Discuss the major challenges faced by the financial services sector in India.
14	Explain the SEBI guidelines relating to merchant bankers.
15	Explain the different stages of venture capital financing.
16	Explain the legal framework relating to hire purchase.
17	Explain the role of financial intermediaries in the financial system.
18	Describe the process of credit rating.

Section C

Answer any ONE .Each Question carries 10 marks (1x10=10 Marks)

19	Analyse the major developments in the financial services industry in India and explain their impact on the financial system.
20	Differentiate between fee-based services and fund-based services offered by financial institutions, with suitable examples.

789025