QP Code: D154006	Total Pages: 2	Name:
		Register No.

FIFTH SEMESTER (CUFYUGP) DEGREE EXAMINATION, NOVEMBER 2026

COMMERCE

COM5EJ302 (1) – Security Analysis and Portfolio Management

2024 Admission onwards

Maximum Time :2 Hours	Maximum Marks :70

Section A

All Question can be answered. Each Question carries 3 marks (Ceiling: 24 Marks)

1	What is investment return?
2	Distinguish between speculation and gambling.
3	What is private placement?
4	What is listing of securities?
5	What is a Depository Participant?
6	What is risk aversion?
7	What is Value at Risk?
8	What is the Dividend Growth Model?
9	What is a heuristic?
10	What is portfolio revision?

Section B

All Question can be answered. Each Question carries 6 marks (Ceiling: 36 Marks)

11	Explain the different types of investment.
12	The possible returns of a security and their probabilities are given below: Return Probability 10% 0.20 15% 0.50 20% 0.30 Calculate: a) Expected return b) Variance c) Standard deviation.
13	Describe the different methods of floating new issues.
14	Explain the functions of SEBI in regulating the securities market.
15	Explain the measurement of expected return and risk.
16	Explain Dow Theory and its basic principles.

17	Distinguish between traditional finance and behavioural finance.
18	Explain the Portfolio Management Process.
Section C	
Answer any ONE. Each Question carries 10 marks (1x10=10 Marks)	
19	Explain fundamental analysis under the Economy–Industry–Company framework. Discuss the Dividend Yield Model and Dividend Growth Model.
20	Explain CAPM and discuss its importance in portfolio selection.